

# Ad-hoc Storage Overlay System (ASOS): A Delay-Tolerant Approach in MANETs

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**Abstract**—Mobile Ad-hoc NETWORKS (MANETs) are a type of infrastructure-less networks that are most useful in unprepared emergencies where critical applications must be launched quickly. However, they often operate in an adverse environment where end-to-end connectivity is highly susceptible to various disruptions. Methods of adjusting the motion of existing nodes or deploying additional nodes can improve the connectivity under some circumstances, but there exist scenarios where connectivity cannot be immediately improved, and disruptions must be coped with properly. In this paper we propose an architecture of the Ad-hoc Storage Overlay System (ASOS). ASOS is a self-organized peer-to-peer (P2P) overlay, consisting of storage-abundant nodes that jointly provide distributed and reliable storage to disruption-affected data flows. ASOS is a Delay-Tolerant Networking (DTN) approach that extends the conventional end-to-end data communication model in MANETs, and can significantly improve their applicability in practice.

**Index Terms**—MANET, Delay Tolerant Networking, Peer-to-Peer, Network Overlay, Storage

## I. INTRODUCTION

Mobile Ad-hoc NETWORKS (MANETs) are a type of wireless networks that can be set up rapidly without pre-deployed infrastructure. MANETs are ideal where timely deployment of network infrastructure is impractical, e.g. on military battlefields or in disaster recoveries. Urban mesh and vehicular networks are also amongst the recent advances in MANET-based technologies that provide flexible data communications as alternative to infrastructural services.

Although MANETs have been widely studied for years, it is still largely operating with a paradox. On the one hand, MANETs are most useful in unprepared situations where tasks must be fulfilled quickly. For example, search and rescue operations may begin within minutes after the disaster strikes. Data collected during these operations contains crucial information and must be safely protected. On the other hand, MANETs often operate in an adverse environment and are far less reliable than their wired or infrastructure-based wireless counterpart. Nodes in a MANET can crash, lose power, be blocked by obstacles, or move beyond the communication range of its neighbors, etc. As a result, it is very difficult to guarantee continuous end-to-end connectivity in MANETs.

In recent years, great research efforts have been made on maintaining end-to-end connectivity in MANETs. For example, popular ad-hoc routing protocols employ mechanisms such as route redundancy and local repair to minimize the chance

of end-to-end path breakage [12][13][19]. These mechanisms, however, only alleviate the problem by shortening the time of finding an alternative path when the original one went broken. If the network is physically partitioned into disconnected islands, there is very little that these routing protocols can do.

Other researchers have looked at the possibility of bridging disconnected network partitions with additional nodes. For instance, [16] studied how to reconnect a partitioned network by deploying as few additional nodes as possible. [26][29] proposed using additional mobile nodes to relay messages between network partitions. These methods, when applicable, can improve connectivity fast and effectively. However, there still exist situations where additional nodes cannot be deployed as needed, e.g. tank battalions separated by high mountains, or severe weather preventing immediate access to portions of disaster-stricken areas.

As disruptions in connectivity in MANETs are unavoidable, it is better to *tolerate* such disruptions by saving undeliverable data until connectivity improves again. Naive methods would save the data locally at the source node, at a designated storage server, or at the node closest to the destination. These methods obviously suffer from various scalability and robustness issues. A more sophisticated scheme should involve replication and distributed storage mechanisms for better reliability and performance. In our proposed solution, named the Ad-hoc Storage Overlay System (ASOS), storage is handled by a *peer-to-peer (P2P) overlay* consisting of memory-abundant nodes in the MANET. These overlay nodes, called *ASOS peers*, jointly provide reliable storage to disruption-affected data flows. When an end-to-end flow is disrupted, ASOS receives data from the source node, stores it in the ASOS overlay, then delivers the data to the original destination when connectivity improves. ASOS is a self-organized architecture among nodes in the MANET; it complements the aforementioned approaches where additional nodes are used to bridge network partitions. ASOS matches the Delay Tolerant Networking (DTN) research interest [4][5] while specifically targetting the MANET scenarios. The concept of ASOS can be integrated as part of the general DTN reference framework [4].

The rest of the paper is organized as follows. Section II illustrates a typical application scenario for ASOS and discusses its design principles. Section III proposes the basic design of the ASOS architecture, focusing on issues of overlay maintenance and service interfacing. Probabilistic data replication, a

key component in ASOS, is studied in Section IV, along with an algorithm proposed under a specific mobility model. Section V presents simulation results to assess the efficacy and performance of ASOS. Related work is summarized in Section VI. Finally Section VII concludes the paper.

## II. APPLICATION SCENARIO AND DESIGN PRINCIPLES

### A. Application Scenario

We now present an application scenario to illustrate how ASOS mitigates the impact of connectivity disruptions in a MANET. Instead of conventional applications such as FTP, we describe a more intricate scenario where multimedia is involved. Although multimedia applications are usually delay sensitive, we will show that they can also benefit from the existence of ASOS.

Assume a MANET is deployed for the purpose of reconnaissance in a large target area. A number of mobile nodes, e.g. human beings and motor vehicles, are equipped with video cameras and other sensing devices. Distributed across the area, these nodes capture useful data and send it back to the control center. In the ideal case, all data is promptly received by the control center, thus complete and detailed images of the target area can be quickly reconstructed. In reality, however, part of the data may not be delivered to the control center in time due to various disruptions. The control center can still reconstruct images of the target area from partially received data, but some of the details will be missing or only available at a reduced quality.

Without ASOS, undeliverable data is generally either dropped or buffered at the source node. If dropped, such data is permanently lost and will never contribute to future image reconstructions; if buffered at the source, the data is highly susceptible to single point of failure. Moreover, the limited storage capacity of a single node may not meet the demand of multimedia applications. With ASOS, on the other hand, undeliverable data is stored jointly by a number of ASOS peers in the overlay with much more space and higher robustness to node failures. The control center can retrieve this data later and reconstruct detailed images of the entire area. In this way, even though ASOS does not improve end-to-end connectivity instantly, it provides a mechanism to store useful data that will later contribute to the overall usefulness of the applications.

### B. Design Principles

As we have explained above, when connectivity in a MANET is disrupted but immediate relief is inapplicable, such disruptions must be tolerated to the maximum extent by the MANET itself. Specifically, *when the source and destination nodes of a data flow are separated in different partitions with no end-to-end paths available, data should be stored in ASOS, a P2P overlay storage utility, until connectivity improves, after which the stored data is delivered from ASOS to the original destination.* ASOS aims to extend data communications beyond the end-to-end model. We now discuss the design principles of ASOS:

**PRINCIPLE 1: *safe and robust storage.*** This is the top design principle of ASOS. Data must be stored in a distributed manner with redundancy, and survive small-scaled failures.

**PRINCIPLE 2: *immediate availability.*** ASOS must be available as soon as possible in the events of network disruptions. Preferably it should be immediately available whenever needed. This principle indicates two requirements. First, ASOS solely relies on the collaboration of existing nodes. Second, ASOS itself must be self-managed and remain robust to disruptions.

**PRINCIPLE 3: *efficient storage and easy data delivery.*** Use of the overall ASOS storage capacity should be managed efficiently so as to hold as much data as possible. Also, data should be stored in such a way that future delivery to the original destination can be accomplished easily.

**PRINCIPLE 4: *friendly interface.*** ASOS should provide a simple and friendly service interface to regular nodes that wish to use the storage utility. This includes both data submission from a source node, and data retrieval from a destination node.

## III. THE ASOS ARCHITECTURE

To match the characteristics of MANETs such as lack of infrastructure, node heterogeneity and mobility, etc., we choose to design ASOS as a self-organized P2P overlay on top of existing nodes in the MANET. Several challenges need to be addressed for this self-organized P2P overlay, those fundamental issues are the initialization and maintenance of the overlay structure, the service interface provided to regular nodes to access the storage utility, and the data management in ASOS.

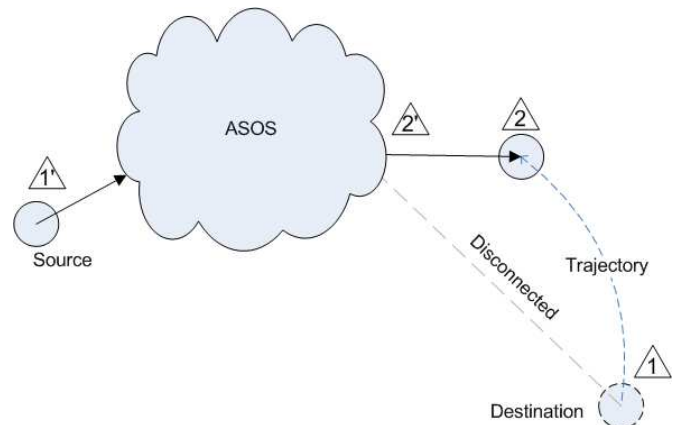


Fig. 1. Illustration of the generic ASOS architecture. ASOS is organized as a P2P overlay. When the destination node is at location 1 and disconnected from the source node, undeliverable data is submitted to ASOS for storage (1'). Stored data is delivered to the destination node (2') after it is reconnected to the network at location 2.

### A. Initialization and Maintenance of ASOS

1) *Selecting ASOS peers:* ASOS is a P2P overlay; every node in the MANET can potentially be a member, called an ASOS peer. Practically, it is more desirable to designate only a subset of the nodes to act as ASOS peers. Nodes in a MANET are often highly heterogeneous. For example, a rescue crew member may only carry a portable PDA with room for just one Compact Flash (CF) card, while a vehicle can easily hold a workstation with multiple hard drives. It is more efficient to select only storage-abundant nodes as ASOS peers. Therefore, we assume that certain nodes are preloaded with specialized

software and presume the responsibility of ASOS peers. Other nodes, denoted as regular ones, must understand the service interface of ASOS to access the storage utility.

2) *Peer and file IDs*: A number of P2P systems generate location-independent hash IDs for both peers and files; a file is stored at peers whose IDs best match the file ID according to specific algorithms [23][27]. This method automatically spreads files uniformly across different geographical locations, a desirable feature for file sharing among a large number of nodes. This uniformity, however, is undesirable in ASOS, of which the primary goal is to deliver a file<sup>1</sup> to its original destination. Therefore, we need a new, ID-independent algorithm to select storage locations for data. This will be studied in detail later in this paper. For now, we reasonably assume that all nodes in the MANET already have a unique ID system, e.g. IP addresses or node IDs. Files can be uniquely identified via hash mapping, e.g. from source/destination node IDs and supplementary information such as TCP/UDP port numbers.

3) *ASOS initialization and maintenance*: After a MANET is deployed, an initialization process is called to set up the ASOS overlay. Naturally, all ASOS peers form a multicast group. For simplicity we assume that the multicast address is *a priori* and known by all ASOS peers. Discussion on alternative methods such as dynamic address selection is beyond the scope of this paper.

Each designated ASOS peer, shortly after deployment, starts multicasting periodic HELLO messages to initialize and maintain the ASOS overlay. Essential fields in a HELLO message, illustrated in Figure 2, include the *peer ID*, *sequence number*, *remaining capacity*, *peer location* and *stored files*. A HELLO message is sent to all ASOS peers, so that every peer can hear from all other reachable peers and know which files are stored in ASOS and where they are stored.

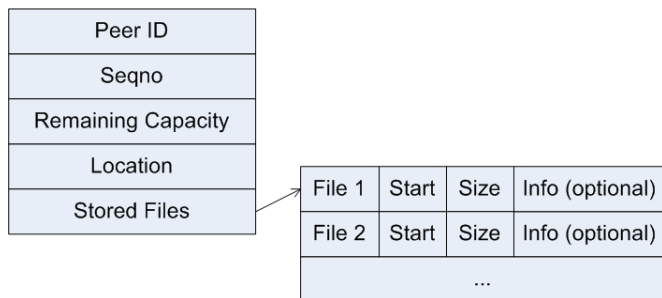


Fig. 2. Format of a HELLO message.

Several fields in the HELLO message are used for the maintenance of ASOS. First, *sequence number* is a peer-specific integer incremented every time a new HELLO message is sent. A new HELLO message with a higher sequence number refreshes information contained in previous HELLO messages from the same peer. *Remaining capacity* and *peer location* are used for data management in ASOS. *Stored files* are the meta data of the

<sup>1</sup>For simplicity, hereafter in this paper we assume that data is managed in the form of files in ASOS. Alternatively data can be managed as database records, etc. The proposed ASOS architecture can be easily tailored for such cases.

files, e.g. the file ID, start offset, file size and other optional information. We will explain them shortly.

To keep track of the active peers and stored files, each ASOS peer maintains a lookup table of its reachable neighbors. Entries in the lookup table contain similar fields as in the HELLO messages. Each entry corresponds to one peer and is refreshed when a new HELLO message from that peer is heard. Each entry is also associated with an expiration timer that is reset when the entry is refreshed. The initial value of the timer is larger than the refresh interval of HELLO messages. Expiration of such a timer means that HELLO messages have not been heard from the particular ASOS peer for sufficiently long. This indicates that the peer may have become unreachable. The associated entry is then deleted from the lookup table.

## B. ASOS Interface

ASOS provides an interface for regular nodes to access its storage utility. The main issues are 1) how regular nodes know about nearby ASOS peers, 2) when and how a regular source node submits data to ASOS for storage, and 3) when and how the stored data is delivered to the original destination.

1) *Advertising of ASOS peers*: Regular nodes in a MANET must know the existence of nearby ASOS peers. Due to the broadcast nature of wireless media, the HELLO messages exchanged between ASOS peers could also be used as advertisement. By enabling the promiscuous mode, regular nodes could take a sneak peek at the HELLO messages and learn about the nearby ASOS peers and the currently stored files.

Overhead is a serious drawback of this scheme, in which HELLO messages from all ASOS peers must be sent to all regular nodes in the MANET. Aggregation on HELLO messages might be able to alleviate this problem, but could also intervene with ASOS maintenance which these messages are primarily for. We decide to decouple the task of advertising ASOS from the maintenance of ASOS itself, and introduce a new type of ADVERTISE messages.

Each ASOS peer periodically aggregates its knowledge of reachable peers and files stored in the overlay, and broadcasts an ADVERTISE message to all nodes. Since regular nodes do not participate in ASOS maintenance, fields such as *remaining capacity* and *peer location* are not included in ADVERTISE messages. More importantly, ADVERTISE messages only contain file IDs rather than the detailed locations where each file is stored. This greatly reduces the size of the message.

Since all ASOS peers broadcast ADVERTISE messages, excessive broadcasting must be suppressed. This is done by allowing a node to only forward the first fresh ADVERTISE message it has received and drop subsequent but identical messages. This is achieved by introducing a system-wide sequence number in all ADVERTISE messages. In addition to message suppression, this scheme also guarantees that a regular node receives ADVERTISE messages from its closest ASOS peer.

2) *Disruption detection and data submission to ASOS*: If before deployment, it is predicted that the MANET is going to constantly experience heightened levels of disconnectivity, ASOS can be selected as the default mode of data communications. A more practical situation is where end-to-end flows are

still the primary and desirable mode, while ASOS is used as the backup scheme when end-to-end connectivity is disrupted.

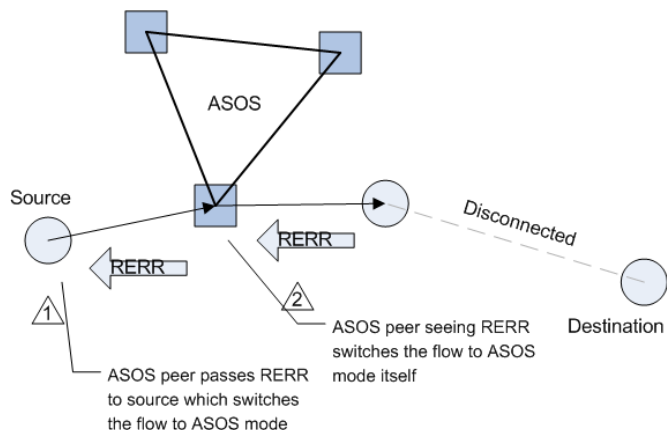


Fig. 3. Two possible methods to switch an end-to-end flow to the ASOS mode. The first method is initiated by the original source node after receiving a routing error message. As for the second method, an intermediate ASOS peer node on the path initiates the switching when routing errors are heard.

Disruption is usually detectable at the routing layer. For example, popular ad-hoc routing protocols [12][13][19] use RERR messages to report route errors. Receipt of such an error message is a good indication of disruptions in connectivity. Note that many ad-hoc routing protocols perform local repair trying to fix a broken path before notifying the application of the path breakage. ASOS accommodates such efforts and will only intervene after it is notified of local repair failures.

Upon disruption detection, there are two methods to switch an end-to-end flow to the ASOS mode. For the first method, when the path between a pair of nodes is broken, the source node contacts the ASOS agent (known from ADVERTISE messages) once it has received routing error notifications. When the conversation is set up with the ASOS agent, the source node submits undeliverable data to the agent for storage. Alternatively, if there exists an ASOS peer on the path along which a routing error message such as RERR is propagated back to the source node, this ASOS peer can switch the connection to the ASOS mode immediately and start to store the data. The second method is faster, but the ASOS peer must notify the source node of this switching. Please see Figure 3 for an illustration of the two aforementioned methods.

We treat the entire data of an end-to-end flow in the network as a file. Due to disruptions, transmission of the file may be divided into several periods. An old period ends and a new one starts when 1) the end-to-end connectivity is disrupted and the flow is switched to the ASOS mode; 2) the current ASOS agent becomes unreachable and the source node must find a new one; and 3) the end-to-end connectivity has improved and the flow is switched back to the end-to-end mode. Therefore, the *start* offset in the file and the *size* of the data transmitted in a period are critical and must be recorded in the local lookup table when an ASOS agent is receiving data from a source node (see Figure 4 for an illustration).

3) *Data retrieval from ASOS*: In terms of which party initializes the retrieval process, data can be either *pushed* to the

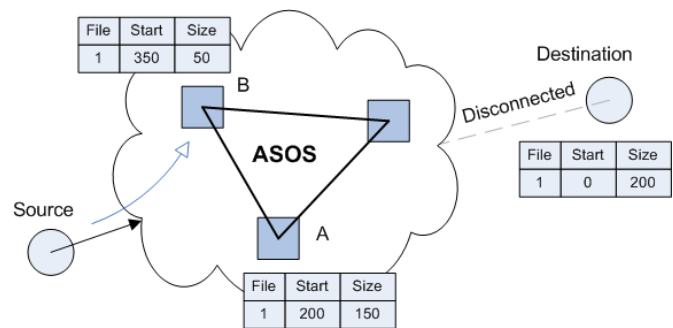


Fig. 4. Distributed storage of a file in ASOS. The first 200 data units of File 1 have been delivered end-to-end to the destination. The next 150 units have been submitted for storage at an earlier ASOS agent A. The current ASOS agent is B with 50 units already submitted.

destination node by ASOS, or *pulled* by the destination node itself. The *pull* model is applicable when a regular node, by receiving ADVERTISE messages, learns that ASOS has stored data for it. The destination node then contacts its ASOS agent, which returns the ASOS peers that actually store the data. Note that since ASOS applies data replication, there may exist multiple ASOS peers holding replicas of the same data. In this case the agent selects the most appropriate one based on its measured overlay metrics such as bandwidth, delay, etc. Direct connections can then be set up between the destination node and the ASOS peers to retrieve the data.

The *push* mode is applicable when an original destination node is seen by an ASOS peer, e.g. appearing in the peer's routing table. If the detecting peer is aware of any stored data for the destination node, it notifies the peers that actually store the data to set up a conversation and push the data to the destination node.

### C. Data Management

One of the design goals of ASOS is reliable storage through distributed data redundancy. Since ASOS is designed to extend end-to-end communications in disrupted networks, data management in ASOS is different from normal file sharing systems. To this end, we propose a probabilistic replication algorithm that selects appropriate storage locations, providing reliability while conforming to the design requirements of ASOS.

1) *Probabilistic selection of storage locations*: After a source node submits data to its ASOS agent, the agent becomes the first ASOS peer to store a copy of the data. To increase storage reliability, data must also be replicated to other ASOS peers. Intuitively, it is desirable to store data near the destination for the reason of spatial locality. For simplicity we assume that nodes in the MANET know their (relative) locations; this can be done with GPS or other localization mechanisms. If not available, geo-information may be substituted by other metrics such as the hop count. In the worst case, our scheme degenerates into a random algorithm, of which the performance is no worse than those used in most hash based P2P systems.

A naive way to replicate data is to greedily push the data towards its destination. This method has a drawback: replicas will be geographically close to each other and vulnerable to



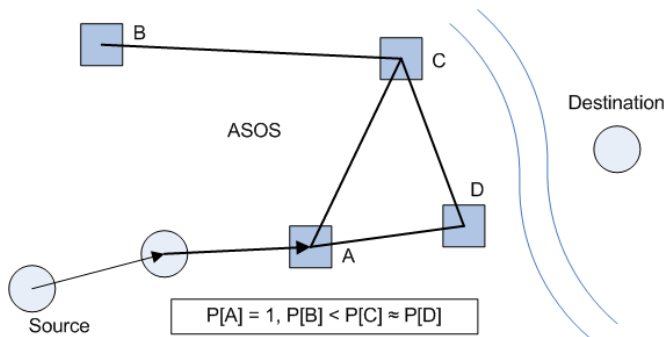


Fig. 5. Probabilistic replication of data. Node  $B$  has a lower but non-zero probability of holding a replica. Nodes  $C$  and  $D$  have comparable probabilities; though neither of them deterministically hold a replica.

clustered failures around the locale. More sophisticatedly, one can replicate the data probabilistically across the overlay. The ASOS agent selects among its reachable peer neighbors  $K - 1$  locations to replicate the data to,  $K$  being a configurable parameter. With the assumption that pairwise distances between nodes can be measured, storage locations are selected based on the following guidelines (also see Figure 5):

- i) A peer closer to the destination node should have a higher probability to be selected,
- ii) A peer further away from other ASOS peers that have been selected as storage locations should have a higher probability to be selected, and
- iii) A peer less heavily loaded should have a higher probability to be selected.

The issue of probabilistic data replication will be studied in further detail in the next section.

2) *Other data transfer between ASOS peers:* In addition to the probabilistic data replication, an ASOS peer may also dynamically transfer stored data to another peer. This can happen when a peer is running short of power or storage space. A peer may replicate data to another peer when it detects a former peer holding a replica to have recently failed. A third situation where data transfer between ASOS peers is useful is when the design parameter  $K$ , namely the number of replicated copies of the same data, needs to be increased for better reliability.

3) *Data deletion and replacement:* ASOS supports both implicit and explicit data deletion and replacement, enabling it to deal efficiently in circumstances where data storage falls short of demand. In the explicit scheme, the original source or destination node can explicitly delete the data from ASOS by messaging its respective ASOS agent. Data deletion in this case corresponds to situations where data is successfully delivered, or has lost its usefulness. The source node ID, destination node ID, and file ID are required to identify the file to be removed. The agent disseminates this message to the ASOS peers that currently hold a copy of the data, which will then delete the data from their local storage.

In the implicit scheme, ASOS can accommodate storage scarcity with prioritized storage management such as variations of the Least Recently Used (LRU) and First-in-First-out (FIFO) algorithms. With a weighted priority data deletion scheme in

place, ASOS would remove data deemed less important, to secure storage space for more valuable data. To allow more configurability, each data entry will also be associated with an *expiration time*, set by the original source node. When the data entry reaches its expiration time, ASOS will promptly remove the data from its storage system. Of course, when data storage space is abundant, this implicit data deletion scheme is never initiated, as ASOS is aimed to fully utilize all of its available storage space.

#### IV. PROBABILISTIC DATA REPLICATION

In this section, we formulate the probabilistic data replication in ASOS as an optimization problem. Solving this problem would require unrealistic assumptions such as the complete knowledge of future node mobility patterns, etc., thus we turn to a specific mobility model to simplify the location selection algorithm.

##### A. Preliminaries

As mentioned earlier, once the end-to-end connectivity is disrupted, the sender contacts a nearby ASOS peer, designated as its ASOS agent. The agent becomes the first ASOS peer to hold a copy of the data. It must then find  $K - 1$  peers among all the reachable ones to copy this data to<sup>2</sup>.

Denote the sender, its ASOS peer and the destination as  $s$ ,  $a$  and  $d$ , respectively. From now on, we will only focus on this particular case where  $a$  selects  $K - 1$  peers for the data between  $s$  and  $d$ . Let  $\mathbb{N}$  be the set of reachable ASOS peers from  $a$ ,  $|\mathbb{N}| = N > K - 1$ . For any node  $i$  at time  $t$ , its position is defined as  $(x_i(t), y_i(t))$ , and its velocity is  $\vec{V}_i(t) = (v_{i,x}(t), v_{i,y}(t))$ . We only consider two dimensions here, but it can be easily generalized to 3-dimensional scenarios. Assuming the initial position of each node at time  $t_0$  is known, we have:

$$x_i(t) = x_i(t_0) + \int_{t_0}^t v_{i,x}(t) \cdot dt \quad (1)$$

$$y_i(t) = y_i(t_0) + \int_{t_0}^t v_{i,y}(t) \cdot dt \quad (2)$$

The distance between node  $i$  and node  $j$  at time  $t$  is

$$D_{i,j}(t) = \sqrt{(x_i(t) - x_j(t))^2 + (y_i(t) - y_j(t))^2} \quad (3)$$

Also, define the probability of failure at node  $i$  as a function of time  $t$ :

$$F_i(t) = \mathcal{P}[\text{Node } i \text{ has failed by time } t.] \quad (4)$$

For simplicity we assume that once a node fails, all information previously stored at the node is lost. This loss is non-recoverable.

<sup>2</sup>If during data submission, the source node has contacted more than one ASOS peer as its agent, the file is split into several portions. Each portion is managed independently in ASOS until delivered to the destination node, which then assembles them into the original file. Therefore, if a file is split into multiple portions, discussions in this section apply to each portion of the file rather than its entirety.

### B. Probabilistic Replication

If node  $i$ , being an ASOS peer, is selected as a storage location for data with destination  $d$ , the earliest time  $i$  can deliver the data is when an end-to-end path between  $i$  and  $d$  first becomes available. We define this time as  $T_{i,d}$ . It must satisfy the following conditions:

$$\begin{aligned} & \exists \text{ nodes } \{m_l\}, l = 0, \dots, L \\ & \begin{cases} m_0 = i, \\ m_L = d, \\ D_{m_j, m_{j+1}}(T_{i,d}) < D_X, j = 0, \dots, L-1 \end{cases} \end{aligned} \quad (5)$$

where  $D_X$  is the transmission range of the wireless radio. Eqn. (5) basically says there is a path between nodes  $i$  and  $d$ . However, there may exist a set of values  $\{T'_{i,d}\}$  that satisfy Eqn. (5). As per our definition we let

$$T_{i,d} = \min\{T'_{i,d} | T'_{i,d} \text{ satisfies Eqn. (5)}\} \quad (6)$$

Assuming  $K$  ASOS peers, including the agent  $a$ , have been selected as storage locations for the data. Denote these peers as  $n_i, i = 0, \dots, K-1$  where  $T_{n_0,d} < T_{n_1,d} < \dots < T_{n_{K-1},d}$ . Taking also the node failure probabilities  $F_{n_i}(t)$  into account, the expected time when the destination  $d$  receives the data is

$$\begin{aligned} T_d &= (1 - F_{n_0}(T_{n_0,d})) \cdot T_{n_0,d} + \\ & F_{n_0}(T_{n_0,d}) \cdot (1 - F_{n_1}(T_{n_1,d})) \cdot T_{n_1,d} + \\ & \dots + \\ & F_{n_{K-2}}(T_{n_{K-2},d}) \cdot (1 - F_{n_{K-1}}(T_{n_{K-1},d})) \cdot T_{n_{K-1},d} \\ & = \sum_{j=0}^{K-1} \left[ \left( \prod_{i=0}^{j-1} F_{n_i}(T_{n_i,d}) \right) \cdot (1 - F_{n_j}(T_{n_j,d})) \cdot T_{n_j,d} \right] \end{aligned} \quad (7)$$

For the purpose of load balancing, remaining storage capacities of the peers should also be considered when the agent  $a$  selects the storage locations: lightly-loaded ASOS peers are preferred over heavily-loaded ones. However, an optimal peer selection algorithm would require complete knowledge of past *and* future traffic patterns from all nodes in the network. This is simply unrealistic. Therefore, we decide to use only the current remaining capacities of ASOS peers, denoted as  $C_i$  for node  $i$ , in determining the storage locations. As these capacities change continuously, forthcoming flows will automatically adapt to choose the then-lightly-loaded ASOS peers.

Let  $C_i^{max}$  be the maximum storage capacity of peer  $i$ . A non-decreasing function  $G_i(C_i)$ , where  $G_i(0) = 0$  and  $G_i(C_i^{max}) = 1$ , is defined to reflect the impact of remaining capacity on the selection probability of peer  $i$ . Incorporating  $G_i(C_i)$  with Eqn. (7), we have:

$$T'_d = \sum_{j=0}^{K-1} \left[ \frac{\left( \prod_{i=0}^{j-1} F_{n_i}(T_{n_i,d}) \right) \cdot (1 - F_{n_j}(T_{n_j,d})) \cdot T_{n_j,d}}{G_{n_j}(C_{n_j})} \right] \quad (8)$$

If for any ASOS peer  $i$  reachable from  $a$ ,  $T_{i,d}$ ,  $F_i(t)$  and  $G_i(C_i)$  were known, the minimum value of  $T'_d$  and the selected storage locations could be solved. In reality, however, it is impossible to obtain such complete information. Next we will simplify the problem under a realistic mobility model.

### C. Virtual Track Mobility Model

To incorporate the impact of mobility in our study of ASOS, the Virtual Track (VT) mobility model [30] is used to mimic the mobility patterns of various MANET nodes. This model targets the scenario where mobility of the grouped nodes is constrained. It defines a set of “switch stations”, e.g. military forces or road intersections, and “tracks” between adjacent stations, e.g. trails or urban streets. Grouped nodes can only move on the tracks. Nodes belonging to the same group have the same group velocity; each node then has its own internal velocity with respect to the group.

Starting from its initial position (on a track), a group chooses to move to one end of the track, i.e. a switch station. After its arrival, a new track is selected, along with a new group velocity. This process is repeated every time the group arrives at a new switch station. A group may also split into multiple groups at a switch station and move forward in different directions. Multiple existing groups arriving at the same switch station within a certain time frame may also merge into a new one and move along together.

Other than the grouped nodes, randomly deployed static and individual nodes are also considered in the VT model. Static nodes are fixed with no mobility. Individual nodes move independently, not subject to any group velocities or track constraints. Figure 6 illustrates the concepts of the VT model.

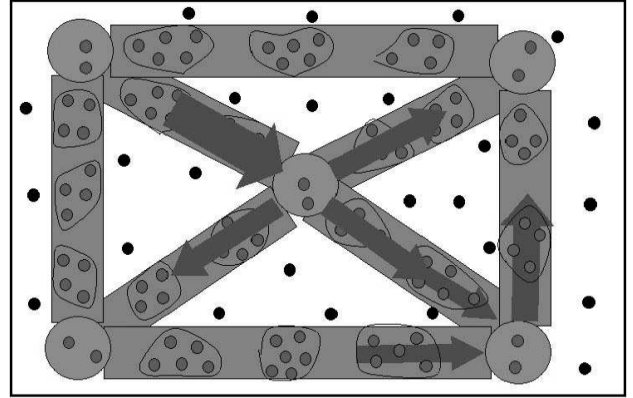


Fig. 6. The Virtual Track mobility model. One group is split into three sub-groups at the center switch station, while two groups merge at the bottom-right switch station.

### D. ASOS Peer Deployment and Probabilistic Location Selection under the VT Mobility Model

All three types of nodes, i.e. static, individual and grouped, can be considered as ASOS peers. Initially, each group contains a certain number of ASOS peers moving along with the regular nodes. These in-group peers respond fast when storage is needed. Due to splitting, a group may temporarily have zero ASOS peers. In this case regular nodes turn to ASOS peers in nearby groups, or to static/individual peers within reach.

Under the VT model, it is difficult to predict future connectivity or the distance between two nodes: the number of possible paths grows exponentially as nodes traverse across switch stations. Our approach of simplification is to use only the *current* positions and velocities of ASOS peers to determine the

replication locations. These values are disseminated in the latest HELLO messages. We assume that the current position and velocity of the destination node are also known. From such information, the next switch station where an ASOS peer or the destination node will arrive can be determined. We use the distance between two switch stations where two nodes will arrive, respectively, as the distance between these two nodes.

```

a: ASOS agent
z: original destination node
P: set of reachable peers from a
S: selected peers as storage locations,  $S \subseteq P$ 
K: number of data copies (assumed as pre-configured)

 $ST_p$ : next switch station where  $p$  will arrive
 $d_{p,q}$ : distance between  $ST_p$  and  $ST_q$ 
 $c_p$ : remaining capacity of peer  $p$ 
 $random(r_1, r_2)$ : uniform random number generator on  $[r_1, r_2)$ 

begin
   $S \leftarrow \Phi$ 
  if ( $|\mathbb{P}| < K$ )
     $S \leftarrow \mathbb{P}$ 
  else
    repeat
      for each  $p \in \mathbb{P}$  do
         $d_{min} \leftarrow \min\{d_{p,q} | q \in S\}$ 
         $w_p \leftarrow (c_p \cdot d_{min}) / d_{p,z}$ 
         $r_p \leftarrow random(0, w_p)$ 
      enddo
       $S \leftarrow S \cup \{p | p \in \mathbb{P}, r_p = \max\{r_q | q \in \mathbb{P}\}\}$ 
       $\mathbb{P} \leftarrow \mathbb{P} \setminus \{p | p \in \mathbb{P}, r_p = \max\{r_q | q \in \mathbb{P}\}\}$ 
    until ( $|S| = K - 1$ )
  endif
end

```

TABLE I

ALGORITHM OF PROBABILISTIC SELECTION OF REPLICATION LOCATIONS AMONG ASOS PEERS.

The probabilistic location selection algorithm is shown as pseudo-code in Table I. The weight  $w_p$  favors an ASOS peer that is expected to be closer to the destination node, since due to spatial locality it is more likely to have a connection between them in the future. The algorithm also favors an ASOS peer further away from other replication locations. This improves the immunity against clustered failures. Finally, the algorithm favors an ASOS peer with more storage space, for the purpose of load balancing.

## V. EVALUATION

### A. Simulation Setup

We have implemented ASOS as an application module in the simulator of QualNet [20]. We select the UCLA campus map, shown in Figure 7, as the basis of our simulation scenario. In Figure 7, seven campus landmarks, including the research library, gymnasium, recreation area, schools and departments, etc., are identified as switch stations that will be used in the VT mobility model. A total of thirty nodes are deployed in the

1600 m × 1600 m square area, among them are 5 static, 5 individual and 20 grouped nodes. Mobility of individual nodes follows the Random Way-Point (RWP) model; grouped nodes are divided into four groups, consisting of 5 nodes each, and move based on the VT mobility model. The screen snapshot from the QualNet Graphic User Interface (GUI) is shown in Figure 8. Nodes 1 to 5 are static, 6 to 10 are individual, 11 to 30 are grouped.

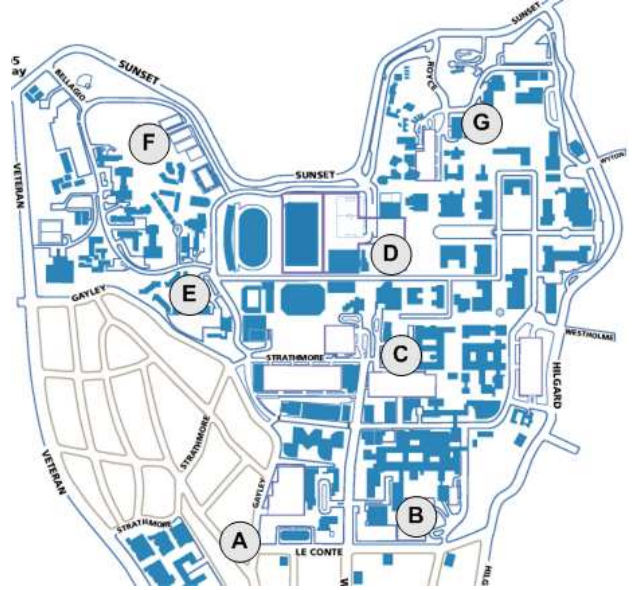


Fig. 7. Map of the UCLA campus. Seven campus landmarks A to G are selected as switch stations that will be used in the VT mobility model.

Node 30 is designated as the destination node where all data traffic is directed. Five flows are set up from different source nodes, including one static, one individual and three grouped nodes from each of the groups which node 30 does not belong to. Each data source generates a periodic constant-bit-rate (CBR) flow, at the rate of 80 Kbps, for 10 seconds every minute. Wireless communications between nodes use the IEEE 802.11b standard at 2 Mbps with an effective transmission range of approximately 280 m. Each simulation runs 20 minutes. Data traffic stops at the 10<sup>th</sup> minute; ASOS, if enabled, has an additional 10 minutes to exploit node mobility and deliver data to the destination. Simulation parameters are summarized in Table II.

### B. Delivery Ratio

We first compare the instantaneous throughput in non-ASOS and ASOS scenarios. Hereafter in this paper, the non-ASOS scenario means data is always delivered in the conventional end-to-end fashion, while the ASOS scenario means data is normally delivered end-to-end but will switch to the ASOS mode when connectivity is disrupted.

Figure 9 shows the instantaneous throughput measured every minute at the destination node. Ideally, this throughput should be 67 Kbps, the aggregate sending rate from all sources, for the first 10 minutes. Due to the disruptions however, throughput in the non-ASOS scenario is consistently below the ideal value.

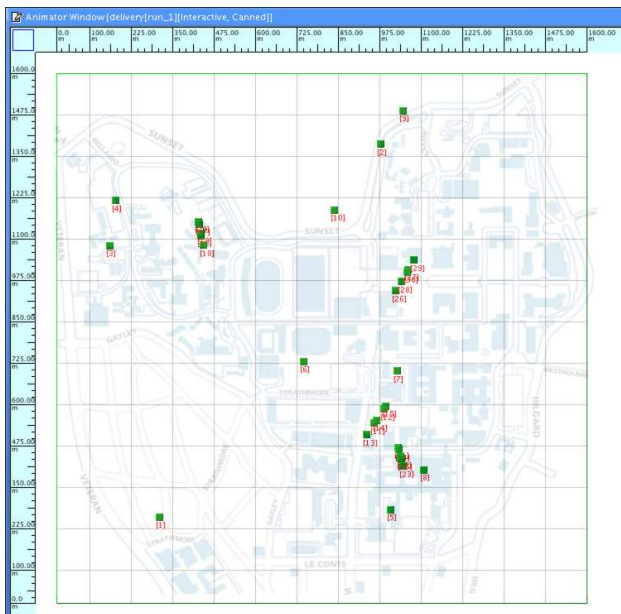


Fig. 8. Screen snapshot of the simulation topology in QualNet. The area is  $1600m \times 1600m$ . Nodes 1 to 5 are static, 6 to 10 are individual, 11 to 30 are grouped.

topology	$1600m \times 1600m$
simulation time	20 min
total number of nodes	30
number of static nodes	5
number of individual nodes	5
number of groups	4
number of nodes in each group	5
number of static nodes as ASOS peers	2
number of ind. nodes as ASOS peers	2
number of ASOS peers in each group (excluding the group with node 30)	2
number of replicas	3
interval between HELLO messages	10 sec
interval between ADVERTISE messages	10 sec
expiration time of entries in lookup tables	30 sec
RWP model min speed	0 m/sec
RWP model max speed	10 m/sec
VT model group min speed	0 m/sec
VT model group max speed	10 m/sec
VT model internal min speed	0 m/sec
VT model internal max speed	1 m/sec
VT model max track length	750 m
VT model group split prob.	0.25
nominal 802.11b data rate	2 Mbps
approx. transmission range	280 m
number of flows	5
average per-flow data rate	13.33 Kbps
start time of flows	0 <sup>th</sup> min
stop time of flows	10 <sup>th</sup> min

TABLE II

SUMMARY OF SIMULATION PARAMETERS IN QUALNET.

ASOS does not instantly increase end-to-end connectivity in the MANET; instead undeliverable data is temporarily stored, and delivered when connectivity improves. Clearly reflected in the figure, at the 8<sup>th</sup> minute the instantaneous throughput is well above 67 Kbps. This includes both fresh data produced during the minute, as well as previously stored data. After the 10<sup>th</sup> minute when all flows have stopped, some amount of data can still be delivered to the destination, e.g. at the 11<sup>th</sup> and 17<sup>th</sup> minute. Figure 10 shows the cumulative amount of data delivered to the destination as time proceeds. At the end of the simulation, ASOS is able to deliver about twice as much data as delivered in the compared non-ASOS case.

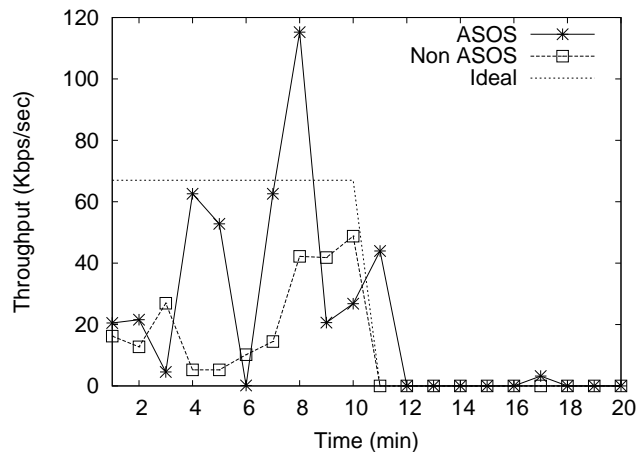


Fig. 9. Instantaneous throughput measured at the destination node. Ideally it is equal to the aggregate sending rate (67 Kbps) for the first 10 minutes. Throughput in ASOS may temporarily exceed this value when both fresh and stored data are delivered to the destination simultaneously.

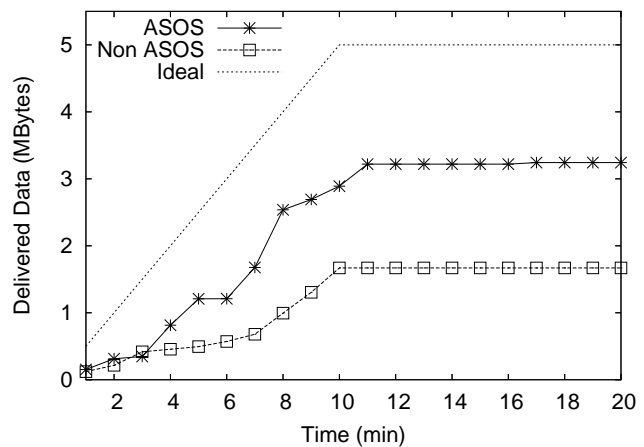


Fig. 10. Cumulative amount of data delivered to the destination as time proceeds. ASOS delivers both fresh and stored data and overall achieves a much higher delivery ratio.

To remove potential bias caused by a particular scenario, we repeat the simulation above with different random seeds. The aggregate delivery ratios under five different random seeds are plotted in Figure 11. For all five seeds tested, ASOS achieves a much higher delivery ratio than non-ASOS. This convinces us



that ASOS can significantly improve the data delivery ratio in generic disrupted situations.

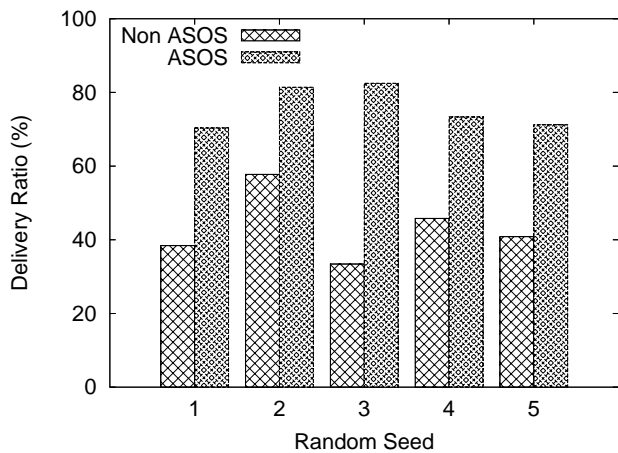


Fig. 11. Aggregate delivery ratios under five different random seeds. Delivery ratios are the overall values of all flows directed to the destination.

We are also interested in how individual flows benefit from ASOS. For this purpose we compare in Figure 12 the delivery ratios of three flows in ASOS and non-ASOS cases, respectively: one from a static node (left), one from an individual node (center), and one from a grouped node (right). From results depicted in Figure 12, the delivery ratio for the grouped node has improved the most with ASOS, while the static node has improved the least. This coincides with our expectation. Static nodes, scattered across the area and stay still, lack the ability of moving to see other nodes and participate in/benefit from ASOS. Individual nodes can move, but their territory is not constrained by the tracks and can be much larger than the grouped nodes. Grouped nodes only move on the tracks; this effectively reduces the area of their territory and increases the chance of seeing each other. Therefore, we have observed the best delivery ratio improvement on grouped nodes.

### C. Load Distribution

One goal of the probabilistic algorithm in Table I is to balance the load among peers without losing other properties such as “closeness” and “robustness”. We draw the normalized storage load at ten ASOS peers in Figure 13. As expected, load is not evenly distributed among all ASOS peers but rather biased towards those better-connected and/or closer to the destination node<sup>3</sup>. However, no ASOS peer is either overloaded or starved; stored data is spread fairly across the overlay to achieve high reliability and robustness.

### D. Messaging Overhead

Both HELLO and ADVERTISE messages in ASOS incur maintenance, with HELLO messages being the heavier source since they are generally larger with more detailed information. In Figure 14 we plot the cumulative distribution function (CDF) of the size of HELLO messages. Figure 14 shows that over

<sup>3</sup>Since nodes are mobile, distances shown in Figure 8 do not necessarily reflect the overall closeness throughout the entire simulation.

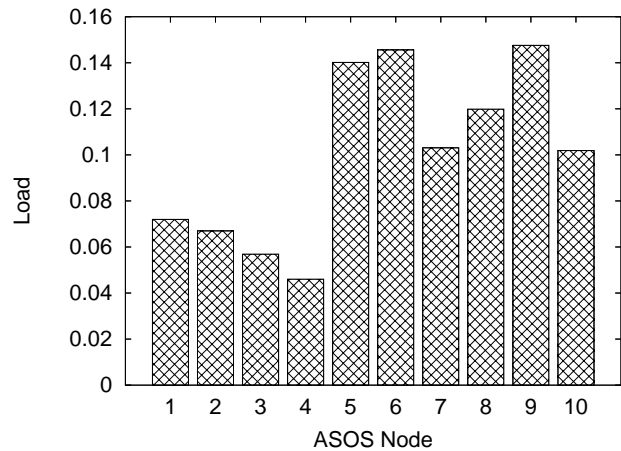


Fig. 13. Normalized load of storage at ten ASOS peers. The total load is 1.

70% of the HELLO messages are less than 200 bytes long, with a maximum of 460 bytes only. In our simulation scenario where 10 ASOS peers send out HELLO messages every 10 seconds, the control traffic injected at the sources is approximately 1.6 Kbps. This is negligible compared to the data traffic. It is worth the expense of such a small amount of bandwidth, as the delivery ratio can be greatly improved with the presence of ASOS.

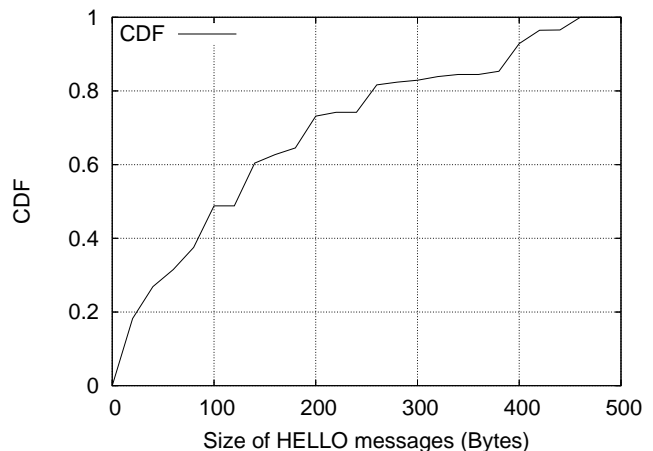


Fig. 14. Cumulative distribution function (CDF) of the size of HELLO messages.

### E. Impact of ASOS Parameters

Two key parameters in ASOS are the number of ASOS peers deployed in the MANET, and the number of data replicas (i.e.  $K$ ). So far they have been fixed at 10 and 3, respectively. We now vary these numbers and study their impact on the performance of ASOS.

First we vary the number of ASOS peers from 5 to 20 in the 30-node scenario in Figure 8; the results are shown in Figure 15. At the beginning, the delivery ratio increases with the number of ASOS peers. This is obvious since more ASOS peers provide better availability to all regular nodes. However, the increasing delivery ratio quickly reaches the peak and then starts

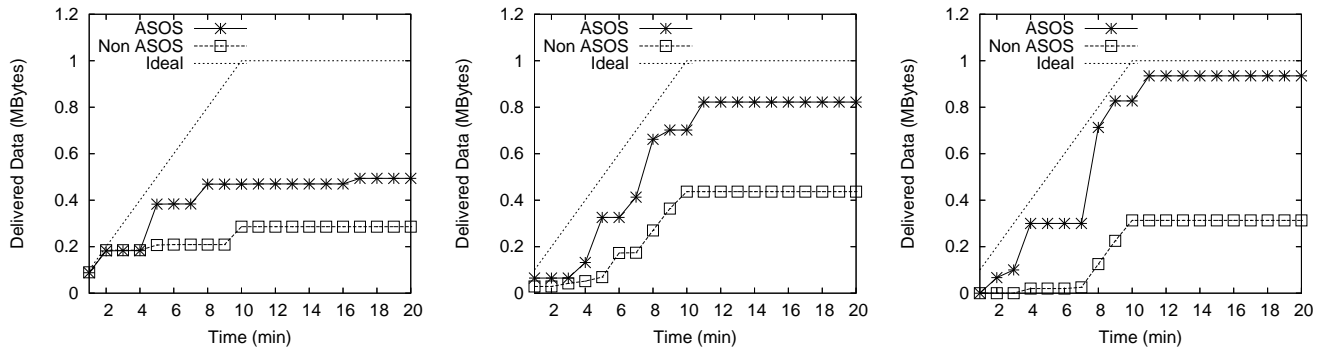


Fig. 12. Delivery ratios of data generated in each minute. Three flows are shown, one from a static node (left), one from an individual node (center), one from a grouped node (right).

to decrease as more ASOS peers are added. This is mainly due to the fact that excessive ASOS peers incur significantly more messaging overhead, which negates the marginal gain brought by these additional ASOS peers.

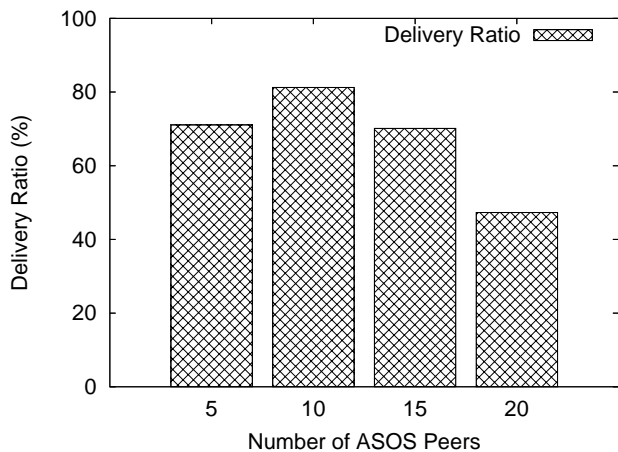


Fig. 15. Delivery ratio vs. number of ASOS peers. Deliver ratios are the overall values of all flows directed to the destination.

We then fix the number of ASOS peers at 10 and vary the number of replicas from 1 to 5. The results are presented in Figure 16. At the beginning, the delivery ratio grows with the number of copies, but quickly hits the plateau; further increase in the number of copies has little impact on the delivery ratio. This is a bit different from what we have observed in Figure 15. The main reason is that increasing the number of ASOS peers leads to *more* HELLO and ADVERTISE messages, while increasing the number of data copies leads to *larger* HELLO and ADVERTISE messages. Since these messages are relatively small in our simulation scenario, an increase in the number of messages has larger impact on the traffic load than an increase in the message size. However, excessive data copies can lead to storage shortages, which did not occur in Figure 16 but may occur in situations where more data is affected by disruptions.

Figures 15 and 16 indicate that choosing the appropriate number of ASOS peers and number of data replicas has big impact on the ASOS performance. In general this depends on a number of factors such as the topology, mobility and traffic patterns. We do not intend to explore an optimal solution for

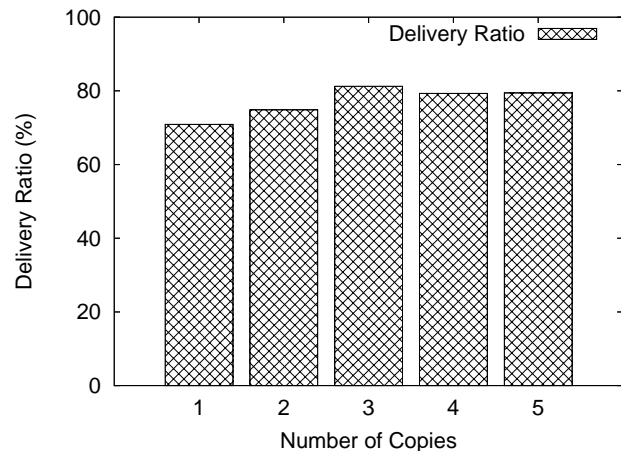


Fig. 16. Delivery ratio vs. number of replicated data copies. Deliver ratios are the overall values of all flows directed to the destination.

the problem in this paper; a comprehensive investigation is left for future study.

## VI. RELATED WORK

We now summarize the status of current research efforts related to our work. The Delay Tolerant Networking Research Group (DTNRG) [4] is dedicated on providing inter-operable communications in various challenged networks where end-to-end connectivity is often disrupted [6][10][11][25]. These pioneer studies have enlightened us on systematically developing a P2P storage overlay architecture to cope with frequent disruptions in MANET scenarios. Among the other DTN efforts for MANETs, [9] proposes using controlled flooding in sparse mobile networks for packet delivery when end-to-end paths do not exist. [15] studies several different opportunistic forwarding strategies using mobile routers (similar to the mobile ferries [29]) in vehicular ad-hoc networks. Adaptive routing for intermittently connected MANETs is investigated in [18]. Our work differs from these efforts and can be integrated as an added feature in MANETs where the above schemes are already implemented.

P2P overlay storage is widely studied on the Internet. Cooperative File System (CFS) has been proposed in [3]; PAST

[22] is another P2P storage utility. These systems are good paradigms on P2P storage; however, our target problem is different. CFS and PAST aim to provide fast file access to all potential users on the Internet. Files are likely to be replicated geographically to many distant locations, a desirable property for robust file sharing. On the contrary, ASOS aims to extend the conventional end-to-end delivery model where stored data is to be delivered to the intended original destination only.

In sensor networks, Data Centric Storage (DCS) [21] is proposed to save all data of the same type at a designated storage server, such that data queries are directed only to the server. The basic DCS is extended with *local refreshes* and *structured replication* for better data robustness and system scalability. Resilient DCS (R-DCS) [7] further improves the robustness and scalability by replicating data at strategic locations. DCS/R-DCS share many commonalities with the idea of ASOS; the main differences are: 1) DCS/R-DCS use geographic information mostly for routing while ASOS uses it for location selection, and 2) data replication is deterministic in DCS/R-DCS but probabilistic in ASOS.

In the context of MANETs, [2] has studied scalable P2P computing. A cross-layer design is proposed to provide better interaction between P2P addressing and ad-hoc routing. Mobile Information Retrieval (IR) is studied in [8]. It splits, indexes and replicates a given database probabilistically across all mobile nodes, and a node only contacts its 1-hop neighbors to answer a query. This scheme is claimed to be sufficiently accurate and robust against network partitioning. PAN [17] is another probabilistic storage system in MANETs. In PAN, small data objects are replicated to multiple servers and can be dynamically updated. To control overhead, PAN “lazily” updates data in multiple rounds such that inconsistency may temporarily exist. It is difficult to apply Mobile IR and PAN directly to ASOS for two reasons. First, the database in mobile IR is static, while in ASOS data is produced in real time. Second, both mobile IR and PAN replicate data to improve chance of access from *all* nodes; this is unnecessary and undesirable in ASOS.

Cooperative caching has also been studied for MANETs. [14] proposes adding an application manager component between the network layer and applications. The application manager will switch to a better data source if the QoS provided by the current one is degrading. This requires that at least one data source is available at any time, which cannot be guaranteed in our target scenarios. [24] and [28] have investigated mechanisms of caching popular data among ad-hoc nodes, such that when the original source is not available, data can be obtained from nearby nodes. These studies have revealed valuable insight into distributed data storage in MANETs; however, they do not target the problem of extending end-to-end flows to survive disruptions.

## VII. CONCLUSION

In this paper we have proposed the Ad-hoc Storage Overlay System (ASOS) that extends the end-to-end data communication model in MANETs when connectivity is disrupted. ASOS is a DTN approach to tolerate inevitable disruptions in MANETs, by storing undeliverable data reliably in an overlay

of storage-abundant nodes and delivering it later when connectivity improves. We have implemented the ASOS in QualNet and shown that it can significantly increase the overall data delivery ratio in disrupted MANET scenarios.

In the future, we will investigate how to effectively integrate the ASOS architecture into the popular DTN reference implementation framework [4]. We also plan to address a few open issues. First, we have only considered pre-configured ASOS peers in this paper. Alternatively, ASOS peers can be dynamically elected as needed, e.g. when no ASOS peers are within the reach of a group of regular nodes. Second, in order to better support multicast applications, the current data management and interface in ASOS need to be revised. Erasure codes can also be incorporated into ASOS to improve the reliability in multicast. Also, in terms of security, data encryption, user authentication and intrusion detection are needed when ASOS operates in a hostile environment where malicious attackers exist. Finally there exist “soft disruptions” where end-to-end connectivity is not totally lost but can be maintained at a reduced effective capacity. We are interested in upgrading ASOS for such scenarios.

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